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PATENT  
Attorney Docket No.: 21756M-103800US

TOWNSEND and TOWNSEND and CREW LLP

By: /Michele Clark/

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Donoho, Steven

Application No.: 10/686,462

Filed: October 15, 2003

For: METHOD AND SYSTEM FOR  
THE PROTECTION OF BROKER AND  
INVESTOR RELATIONSHIPS,  
ACCOUNTS AND TRANSACTION

Customer No.: 20350

Confirmation No. 7085

Examiner: Chang, Edward

Technology Center/Art Unit: 3691

**AMENDMENT AFTER ALLOWANCE  
UNDER 37 CFR § 1.312**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner:

In response to the Notice of Allowance June 15, 2010 , please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 4 of this paper.